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# Math 3012 - Applied Combinatorics Lecture 3

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# Binomial Coefficients Everywhere

## Foundational Enumeration Problem

Given a set of  $m$  identical objects and  $n$  distinct cells, the number of ways they can be distributed with the requirement that no cell is empty is

$$\binom{m-1}{n-1}$$

## Explanation

A A A A A A | A A | A A A A | A A A A A A A | A | A A A

$m$  objects,  $m - 1$  gaps. Choose  $n - 1$  of them. In this example, there are 23 objects and 6 cells. We have illustrated the distribution (6, 2, 4, 7, 1, 3).

# Equivalent Problem

## Restatement

How many solutions in positive integers to the equation:

$$x_1 + x_2 + x_3 + \dots + x_n = m$$

Given a set of  $m$  identical objects and  $n$  distinct cells, the number of ways they can be distributed with the requirement that no cell is empty is

$$\binom{m-1}{n-1}$$

# Building on What We Know

## Restatement

How many solutions in non-negative integers to the equation:

$$x_1 + x_2 + x_3 + \dots + x_n = m$$

## Answer

$$\binom{m + n - 1}{n - 1}$$

**Explanation** Add  $n$  artificial elements, one for each variable.

# Mixed Problems

**Problem** How many integer solutions in non-negative integers to the equation:

$$x_1 + x_2 + x_3 + x_4 + x_5 + x_6 + x_7 = 142$$

Subject to the constraints:

$$x_1, x_2, x_5, x_7 \geq 0; \quad x_3 \geq 8; \quad x_4 > 0; \quad x_6 > 19$$

**Answer**

$$\binom{119}{6}$$

# Good = All - Bad

**Problem** How many integer solutions in non-negative integers to the equation:

$$x_1 + x_2 + x_3 + x_4 = 63$$

Subject to the constraints:

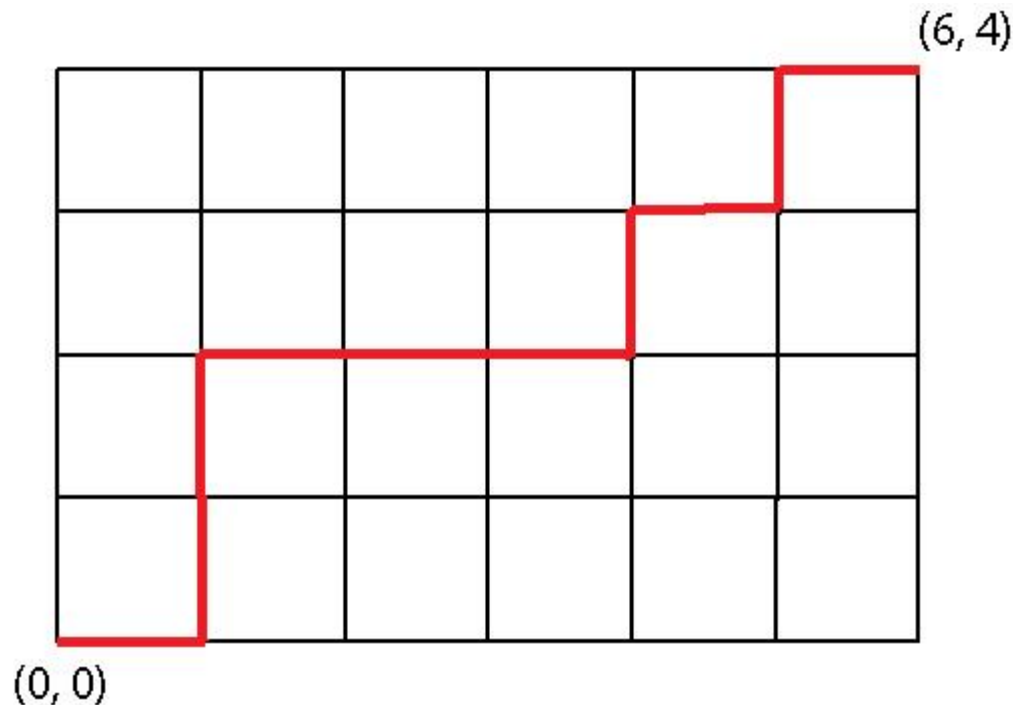
$$x_1, x_2 \geq 0; \quad 2 \leq x_3 \leq 5; \quad x_4 > 0$$

**Answer**

$$\binom{63}{3} - \binom{59}{3}$$

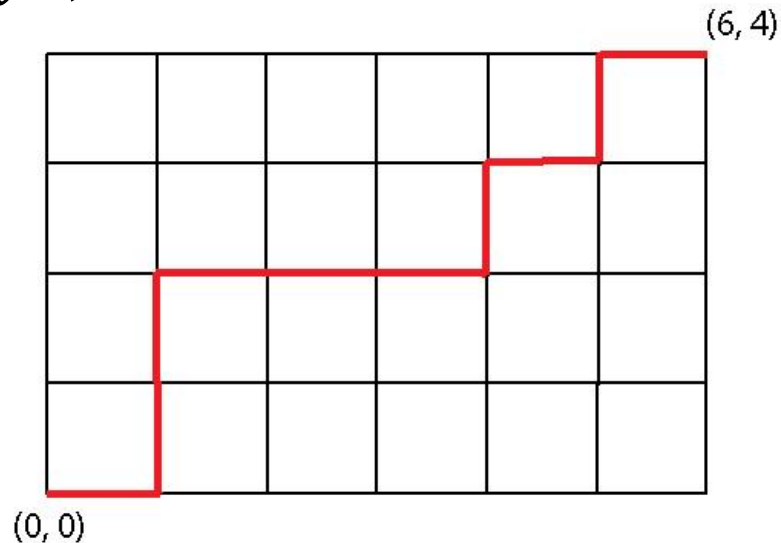
# Lattice Paths (1)

**Restriction** Walk on edges of a grid. Only allowable moves are R (right) and U (up), i.e., no L (left) and no D (down) moves are allowed.



# Lattice Paths (2)

**Observation** The number of lattice paths from  $(0, 0)$  to  $(m, n)$  is  $\binom{m+n}{m}$ .

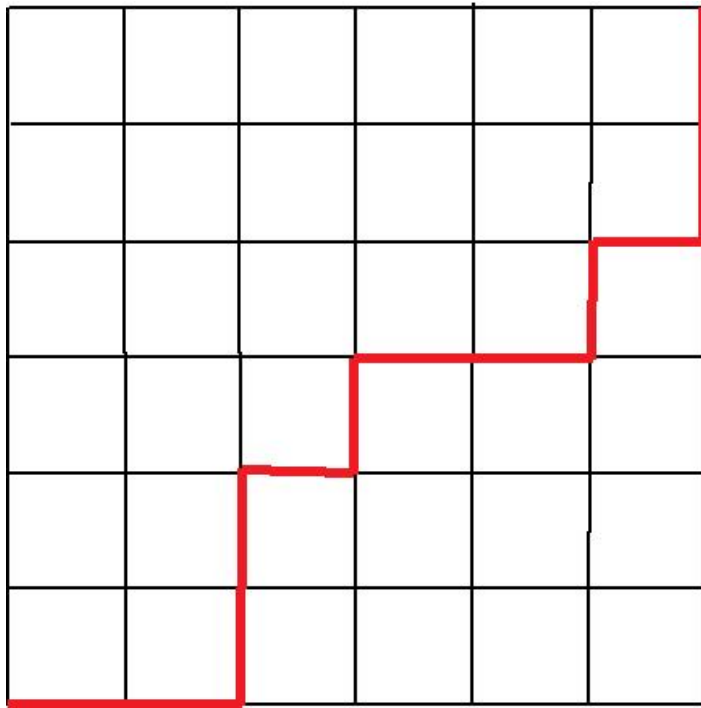


**Explanation** A lattice path corresponds to a choice of  $m$  horizontal moves in a sequence of  $m+n$  moves. Here the choices are: RUURRRURUR

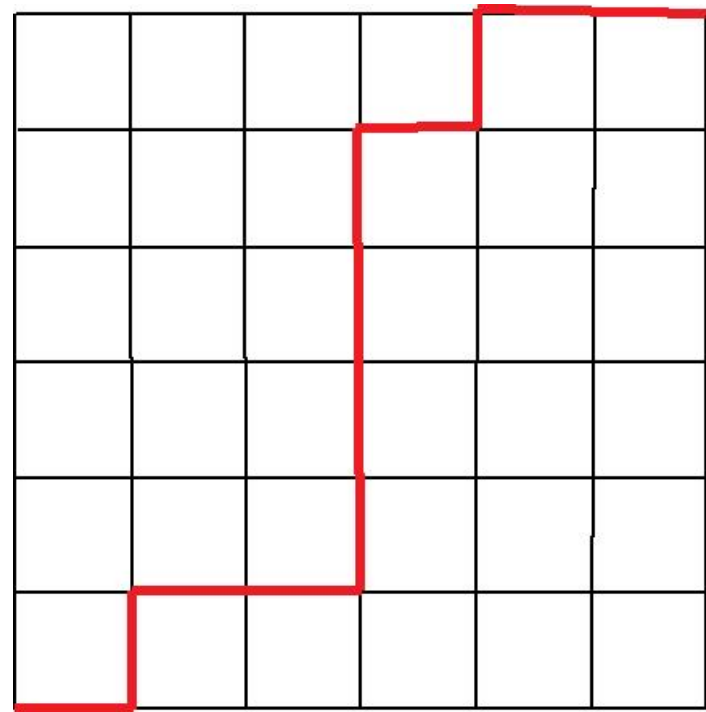


# Lattice Paths - Not Above Diagonal

**Question** How many lattice paths from  $(0, 0)$  to  $(n, n)$  never go above the diagonal?



Good



Bad

# Lattice Paths - Not Above Diagonal

**Solution** The number of lattice paths from  $(0, 0)$  to  $(n, n)$  which never go above the diagonal is the Catalan Number:

$$\frac{\binom{2n}{n}}{n+1}$$

**Observation** The first few Catalan numbers are:

1, 1, 2, 5, 14. What is the next one?

# Parentheses and Catalan Numbers

**Basic Problem** How many ways to parenthesize an expression like:

$$x_1 * x_2 * x_3 * x_4 * \dots * x_n$$

For example, when  $n = 4$ , we have 5 ways:

$$\begin{aligned} &x_1 * (x_2 * (x_3 * x_4)) \\ &x_1 * ((x_2 * x_3) * x_4) \\ &(x_1 * x_2) * (x_3 * x_4) \\ &((x_1 * x_2) * x_3) * x_4 \\ &(x_1 * (x_2 * x_3)) * x_4 \end{aligned}$$

Can you verify that there are 14 ways when  $n = 5$ ?

# Using Recurrence Equations (1)

**Basic Problem** How many regions are determined by  $n$  lines that intersect in general position?

**Answer**

$$d_1 = 2$$

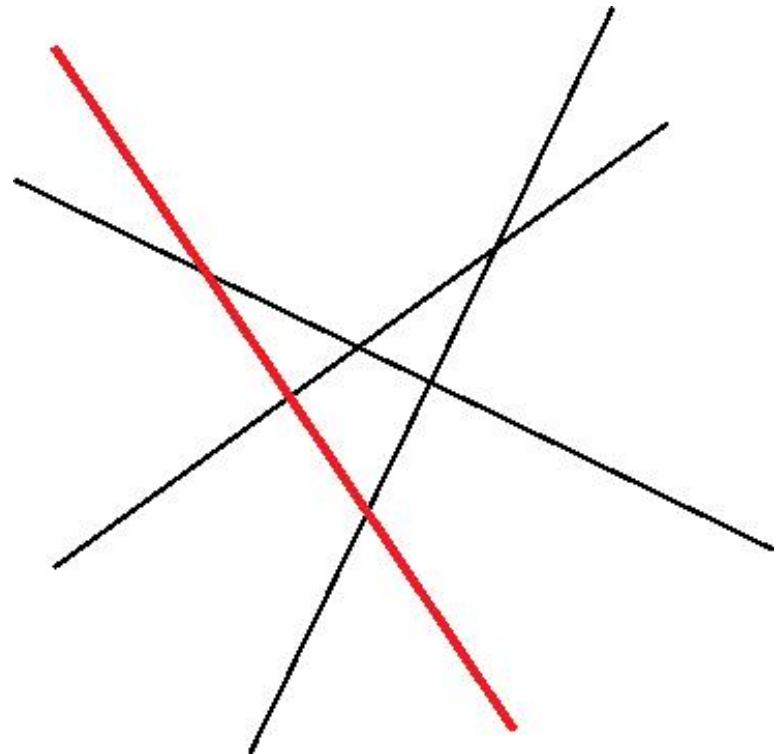
$$d_{n+1} = d_n + n + 1 \quad \text{when } n \geq 0.$$

$$\text{So } d_2 = 2 + (1+1) = 4$$

$$d_3 = 4 + (2+1) = 7$$

$$d_4 = 7 + (3+1) = 11$$

What are  $d_5$  and  $d_6$ ?



# Using Recurrence Equations (2)

**Basic Problem** How many regions are determined by  $n$  circles that intersect in general position?

**Answer**

$$d_1 = 2$$

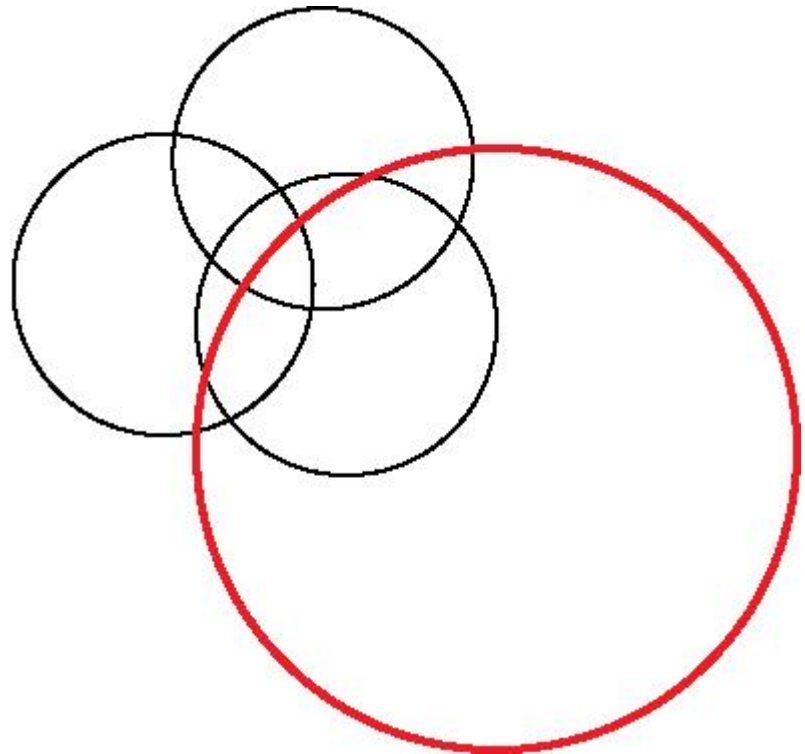
$$d_{n+1} = d_n + 2n \quad \text{when } n \geq 0.$$

$$\text{So } d_2 = 2 + 2 \cdot 1 = 4$$

$$d_3 = 4 + 2 \cdot 2 = 8$$

$$d_4 = 8 + 2 \cdot 3 = 14$$

What are  $d_5$  and  $d_6$ ?



# Using Recurrence Equations (3)

**Basic Problem** How many ways to tile a  $2 \times n$  grid with dominoes of size  $1 \times 2$  and  $2 \times 1$ ?

**Answer**

$$d_1 = 1$$

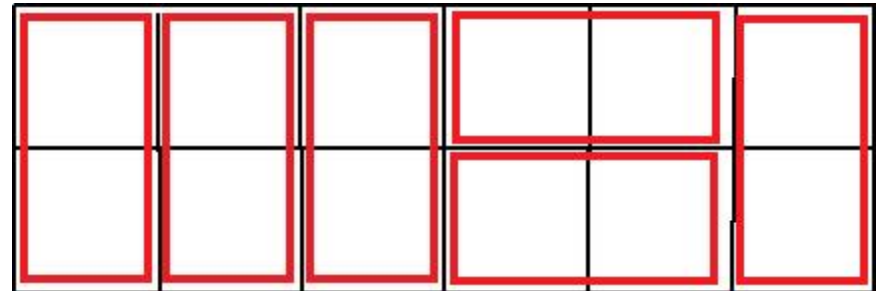
$$d_2 = 2$$

$$d_{n+2} = d_{n+1} + d_n \quad \text{when } n \geq 0.$$

$$\text{So } d_3 = 2 + 1 = 3$$

$$d_4 = 3 + 2 = 5$$

What are  $d_5$  and  $d_6$ ?



# Challenge Problem (4)

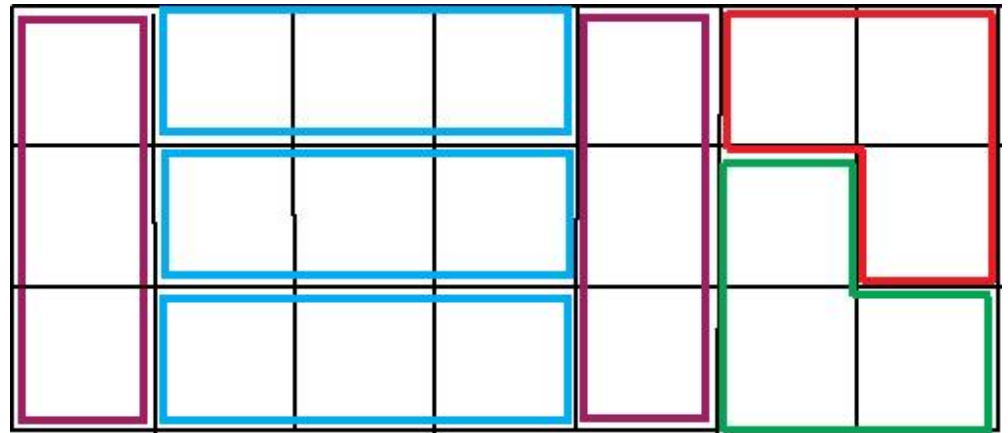
**Basic Problem** How many ways to tile a  $3 \times n$  grid with tiles of the four shapes illustrated here?

**Partial Answer**

$$d_1 = 1$$

$$d_2 = 2$$

$$d_3 = 4$$



What are  $d_5$  and  $d_6$ ?

**Cash Prize** One dollar to first person who can correctly evaluate  $d_{20}$ .

# Using Recurrence Equations (5)

**Basic Problem** How ternary sequences do not contain 01 in consecutive positions?

**Answer**

$$t_1 = 3$$

$$t_2 = 8$$

$$t_n = 3t_{n-1} - t_{n-2} \quad \text{when } n \geq 2.$$

$$\text{So } t_3 = 3 \times 8 - 3 = 21$$

$$t_4 = 3 \times 21 - 8 = 55$$

What is  $t_5$ ?



# Critical Question

**Question** If you know that:

$$a_1 = 14$$

$$a_2 = 23$$

$$a_3 = -96$$

$$a_4 = 52 \text{ and}$$

$a_{n+4} = 9 a_{n+3} - 7 a_{n+2} + 8 a_{n+1} + 13 a_n$  when  $n \geq 1$ , then you can calculate  $a_n$  for any positive integer  $n$ . Is this good enough, or would you like to know even more about  $a_n$ ?

# Basis for Long Division

**Theorem** If  $m$  and  $n$  are positive integers, there are unique integers  $q$  and  $r$  with  $q \geq 0$  and  $0 \leq r < m$  so that

$$n = qm + r$$

**Question** Is this obvious or does it require an explanation/proof?