

# Spatial Fading in Backscatter Channels: Theory and Models

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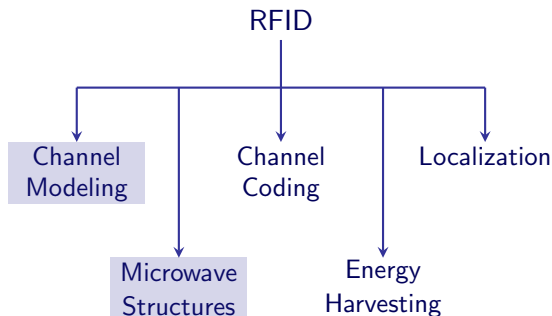


# About Us



**Prof. Greg Durgin**

Director



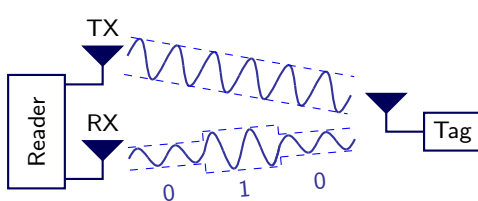
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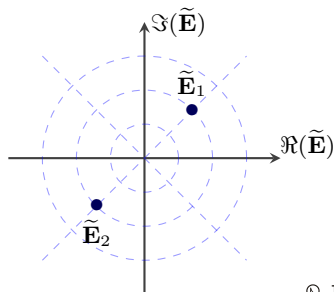
# System Overview

## Fact

RFID systems communicate by means of *backscattered* fields

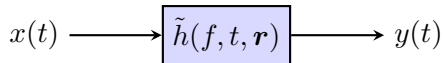


- "0" bit  $\rightarrow \tilde{\mathbf{E}}_1$
- "1" bit  $\rightarrow \tilde{\mathbf{E}}_2$



## General Overview

The envelope of the signal fades with *time*, *frequency*, and *space*



- $f \longleftrightarrow \tau$  (delay)
- $t \longleftrightarrow w$  (Doppler)
- $\mathbf{r} \longleftrightarrow \mathbf{k}$  (wavenumber)

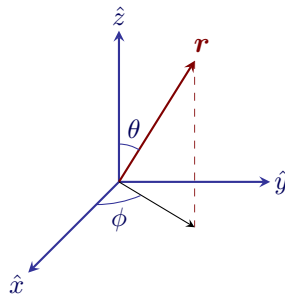
# Spatial Fading

- Spatial Fading;  $\frac{\partial \tilde{h}}{\partial f} = 0$ ,  $\frac{\partial \tilde{h}}{\partial t} = 0$
- $\mathbf{r} = (r, \theta, \phi) \rightarrow r$

Spatial Fading is the building block for frequency fading

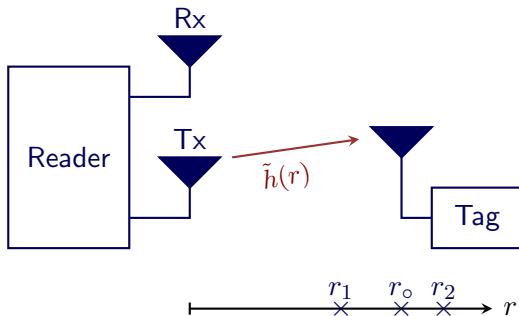
$$\tilde{h}(t) = \sum_{\ell=0}^L \gamma_{\ell} \delta(t - \tau_{\ell}) \quad (\text{CIR})$$

$\gamma_{\ell}$  determined from  $\tilde{h}(r)$



# One-Way Channel Model I

At the tag: 
$$\tilde{h}(r) = \underbrace{\alpha_1(r) \exp [j\Phi_1(r)]}_{\text{Line-of-sight}} + \underbrace{\sum_{i=2}^N \alpha_i(r) \exp [j\Phi_i(r)]}_{\text{Non-Line-of-sight}}$$



## One-Way Channel Model II

At the tag: 
$$\tilde{h}(r) = \underbrace{\alpha_1(r) \exp [j\Phi_1(r)]}_{\text{Line-of-sight}} + \underbrace{\sum_{i=2}^N \alpha_i(r) \exp [j\Phi_i(r)]}_{\text{Non-Line-of-sight}}$$

Two cases:

①  $\alpha_1^2(r) \ll \sum_{i=2}^N \alpha_i^2(r)$  (e.g.,  $\alpha_1(r) = 0$ )

②  $\alpha_1^2(r)$  is *comparable* to  $\sum_{i=2}^N \alpha_i^2(r)$



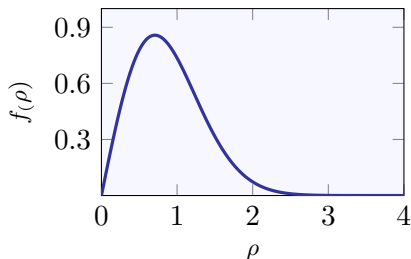
# One-Way Channel Model: Rayleigh Fading

First case:  $\alpha_1^2(r) \ll \sum_{i=2}^N \alpha_i^2(r)$  (e.g.,  $\alpha_1(r) = 0$ )

$$\sum_{i=1}^N \alpha_i(r) \exp[j\Phi_i(r)] \sim \mathcal{CN}(0, \sigma^2)$$

The envelope (magnitude)

$$f(\rho) = \frac{2\rho}{\sigma^2} \exp\left(-\frac{\rho^2}{\sigma^2}\right)$$

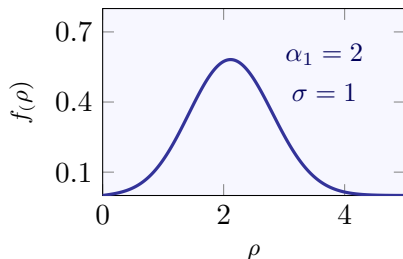


# One-Way Channel Model: Rician Fading

Second case:  $\alpha_1^2(r)$  is *comparable* to  $\sum_{i=2}^N \alpha_i^2(r)$

$$\alpha_1(r) \exp[j\Phi_1(r)] + \mathcal{CN}(0, \sigma^2)$$

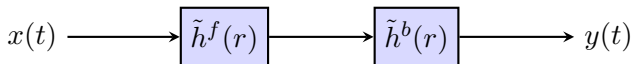
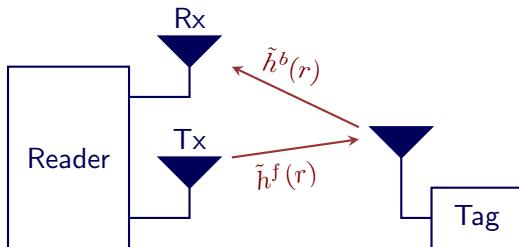
$$f(\rho) = \frac{2\rho}{\sigma^2} \exp\left(-\frac{(\rho^2 + \alpha_1^2)}{\sigma^2}\right) I_0\left(\frac{2\rho\alpha_1}{\sigma^2}\right)$$



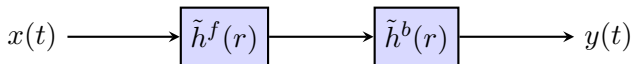
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# Physical Model



# System Architecture



Three possible configurations:

- ① *Monostatic*;  $T_x = R_x$ ; hence,  $\tilde{h}^f(r) = \tilde{h}^b(r)$
- ② *Co-located Bistatic*;  $T_x \neq R_x$ ; but  $\tilde{h}^f(r)$  and  $\tilde{h}^b(r)$  are **correlated**
- ③ *Dislocated Bistatic*;  $T_x \neq R_x$ ; but  $\tilde{h}^f(r)$  and  $\tilde{h}^b(r)$  are **independent**

# Facts

## First Fact

Backscatter channels are the product of two one-way channels

## Second Fact

Severity of fading increases with the correlation between the forward and backward channel

We want to study the two extreme cases, monostatic (fully correlated) and dislocated bistatic (independent; hence, uncorrelated)

## Monostatic Channels

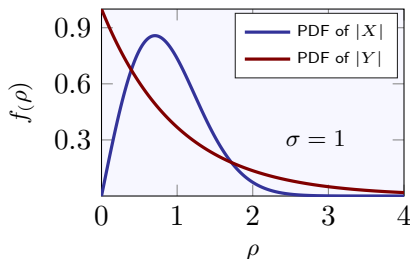
Recall:

- $\tilde{h}^f(r) = \sum_{i=1}^N \alpha_i(r) \exp[j\Phi_i(r)]$ , and  $\tilde{h}^b(r) = \sum_{i=1}^M \beta_i(r) \exp[j\Psi_i(r)]$
- Monostatic implies  $\tilde{h}^f(r) = \tilde{h}^b(r) \longrightarrow \tilde{h}(r) = \left(\tilde{h}^f(r)\right)^2$

Under the same environment, if  $X$  is a random variable that describes fading in a **one-way** channel, then  $Y = X^2$  is the random variable that describes fading in a **monostatic backscatter** channel

# Monostatic Channels: Rayleigh Fading

- $X \sim \mathcal{CN}(0, \sigma^2)$ ,  $|X|$  is Rayleigh
- $Y = X^2$ ,  $|Y| = |X|^2$  is double Rayleigh

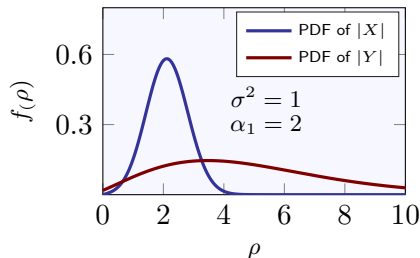


$$f_{|X|}(\rho) = \frac{2\rho}{\sigma^2} \exp\left(-\frac{\rho^2}{\sigma^2}\right), \quad f_{|Y|}(\rho) = \frac{1}{\sigma^2} \exp\left(-\frac{\rho}{\sigma^2}\right)$$



# Monostatic Channels: Rician Fading

- $X \sim \mathcal{CN}(0, \sigma^2) + \text{UDP}$ ,  
 $|X|$  is Rician
- $Y = X^2$ ,  $|Y| = |X|^2$  is  
double Rician



$$f_{|X|}(\rho) = \frac{2\rho}{\sigma^2} \exp\left(-\frac{(\rho^2 + \alpha_1^2)}{\sigma^2}\right) I_0\left(\frac{2\rho\alpha_1}{\sigma^2}\right),$$

$$f_{|Y|}(\rho) = \frac{1}{\sigma^2} \exp\left(-\frac{(\rho + \alpha_1^2)}{\sigma^2}\right) I_0\left(\frac{2\sqrt{\rho}\alpha_1}{\sigma^2}\right)$$

# Monostatic Channels: Weibull Fading I

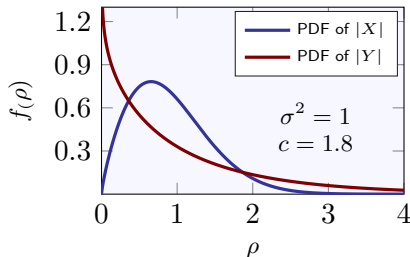
- Sometimes, Rayleigh and Rice distributions do not fit!
- We look for a distribution that fits!
- At UHF, Weibull distribution is a good choice!

## Weibull PDF

$$f(\rho) = \frac{c\rho^{c-1}}{\eta} \exp\left(-\frac{\rho^c}{\eta}\right), \quad \eta = \left(\frac{\Gamma(1 + 2/c)}{\sigma^2}\right)^{-c/2}$$

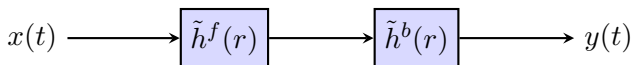
## Monostatic Channels: Weibull Fading II

- Most RFID systems operate at UHF
- $|X|$  is Weibull
- $Y = X^2$ ,  $|Y| = |X|^2$  is double Weibull



$$f_{|X|}(\rho) = \frac{c\rho^{c-1}}{\eta} \exp\left(\frac{-\rho^c}{\eta}\right), \quad f_{|Y|}(\rho) = \frac{c\rho^{c/2-1}}{2\eta} \exp\left(\frac{-\rho^{c/2}}{\eta}\right)$$

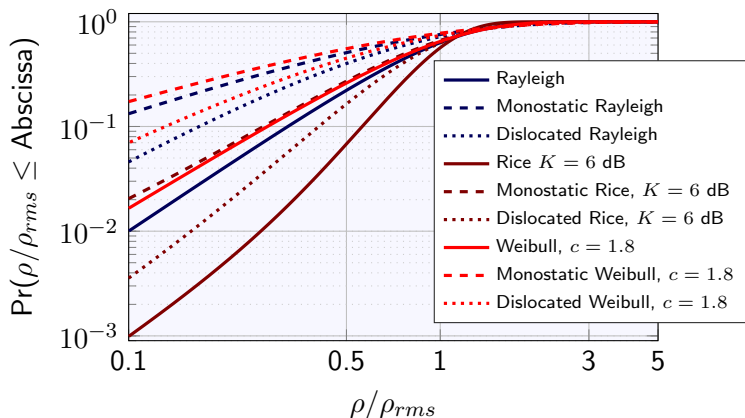
# Dislocated Bistatic Channels: Overview



- $\tilde{h}^f(r)$  and  $\tilde{h}^b(r)$  are independent
- $X \sim \tilde{h}^f(r)$  and  $Y \sim \tilde{h}^b(r)$
- $Z = XY \sim \tilde{h}(r) = \tilde{h}^b(r)\tilde{h}^f(r)$

# Dislocated Bistatic Channels: Rayleigh, Rice, & Weibull

In terms of the CDF



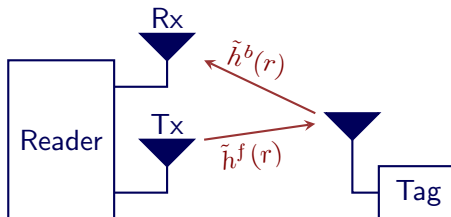
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# Motivation I

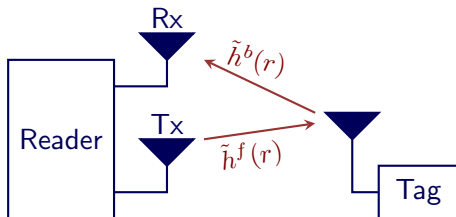
So far:

- Three RFID Configurations



- The severity of fading increases with correlation

## Motivation II



### Statistically:

- ① Monostatic  $\rightarrow$  R.V. squared  $\rightarrow$  easiest to compute
- ② Dislocated  $\rightarrow$  Product of 2 indep. R.V.  $\rightarrow$  easy to compute
- ③ Co-located  $\rightarrow$  Product of 2 dep. R.V.  $\rightarrow$  hard to compute



## Question & Answer

Is there a way to get a rough estimate of #3 statistics ?

### Observable Fact

Experimentally, the statistics of a co-located bistatic channel fall between that of monostatic and dislocated bistatic

- Strong correlation  $\longrightarrow$  closer to monostatic
- Weak correlation  $\longrightarrow$  closer to dislocated bistatic

## BER Definition

Mathematically:

$$P_b(\bar{\gamma}) = \int_0^{\infty} P_{\text{AWGN}}(\gamma) f(\gamma) d\gamma$$

- $\bar{\gamma}$ : Average SNR per bit per unit RMS
- $\gamma$ : Instantaneous SNR per bit
- AWGN: Additive White Gaussian Noise
- $P_{\text{AWGN}}(\gamma)$  depends on modulation
- $f_{\gamma}(\gamma) = \frac{1}{2\sqrt{\gamma\bar{\gamma}}} f_{\rho}\left(\sqrt{\frac{\gamma}{\bar{\gamma}}}\right)$

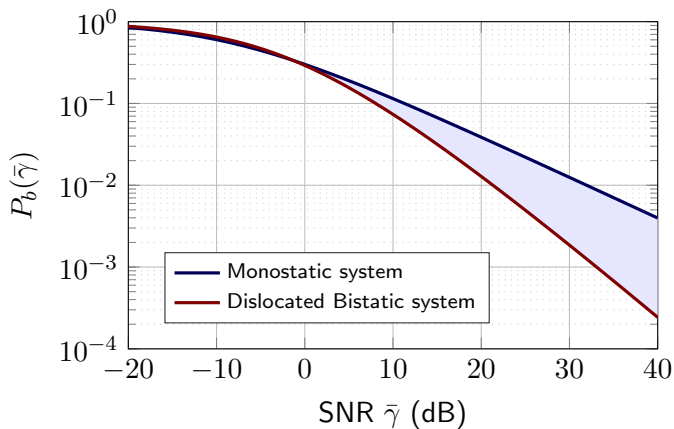
# BER of BPSK

$$P_b(\bar{\gamma}) = \int_0^{\infty} P_{\text{AWGN}}(\gamma) f(\gamma) d\gamma$$

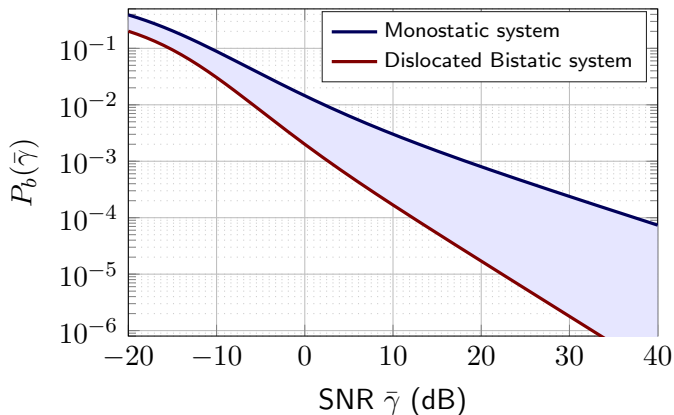
- Most RFID systems use binary modulation
- Passive RFID → OOK
- Semi-passive RFID → BPSK
- For BPSK;  $P_{\text{AWGN}} = Q(\sqrt{2\gamma})$



## BER of Rayleigh Channels

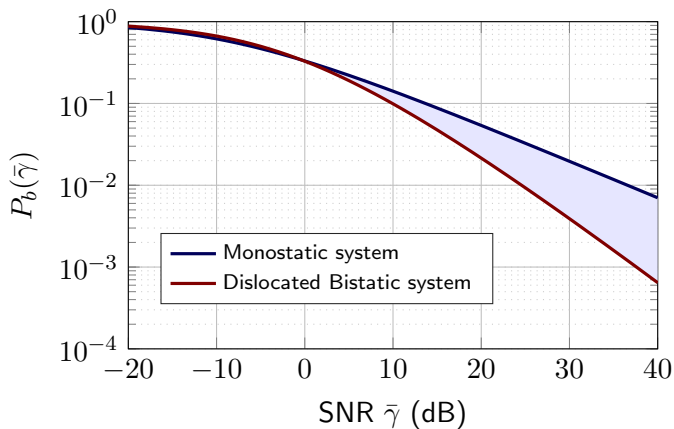


# BER of Rician Channels





## BER of Weibull Channels



# Summary

To sum up,

- The statistics of RFID systems strongly depend on the correlation between the forward and backward channel
- Fading is less severe when these channels are weakly correlated
- Independent and identical channels are the two extreme cases